

## Annexure A

Disclosure of voting activities under Stewardship Code

Name of the Insurer: Max Life Insurance Company Ltd.

Period of Reporting: Oct-Dec 2020

Summary of Voting Actions

SI No	Financial Year	Month	Total No of Resolutions	Resolution where Max Life Acted		
				For	Against	Abstain
1	2020-2021	Oct	14	14	Nil	Nil
2	2020-2021	Nov	4	4	Nil	Nil
3	2020-2021	Dec	19	19	Nil	Nil

Details of Voting Actions

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST/ABSTAIN)	Reason supporting the vote decision
08-Oct-20	SRF	PB	Karvy	Management	Approval for raising of funds by issuance of equity shares through Qualified Institutions Placement(s).	FOR	FOR	Routine business proposal, fund raising to support growth
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Adoption of audited Annual Financial Statements for the Financial Year 2019-20.	FOR	FOR	Routine business proposal
15-Oct-20	Natco Pharma	AGM	NSDL	Management	To confirm the already paid four interim dividends on equity shares during the Financial Year 2019-20 as final dividend.	FOR	FOR	Routine business proposal
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Sri Sridhar Sankararaman (DIN: 06794418) as a Director liable to retire by rotation.	FOR	FOR	No concerns identified
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Sri V.C. Nannapaneni (DIN: 00183315) as Chairman and Managing Director.	FOR	FOR	No concerns identified
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Sri Rajeev Nannapaneni (DIN: 00183872) as Vice Chairman and Chief Executive officer.	FOR	FOR	No concerns identified
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Sri P.S.R.K Prasad (DIN: 07011140) as Director and Executive Vice President (Corporate Engineering Services).	FOR	FOR	No concerns identified
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Dr. D. Linga Rao (DIN: 07088404) as Director and President (Tech. Affairs).	FOR	FOR	No concerns identified

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST/ABSTAIN)	Reason supporting the vote decision
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Reappointment of Dr. M.U.R. Naidu (DIN: 05111014) as an Independent Director.	FOR	FOR	No concerns identified
15-Oct-20	Natco Pharma	AGM	NSDL	Management	Ratification of remuneration of Cost Auditors.	FOR	FOR	Routine business proposal
15-Oct-20	Natco Pharma	AGM	NSDL	Management	To approve the Scheme for giving of Loan(s) to Non-Promoter Working Director(s).	FOR	FOR	No concerns identified
17-Oct-20	DHFL	COC(11COC)	evotingforibc.com	Management	Enabling voting by Circular resolution "It is hereby resolved that with the objective of ensuring expedient decision making (only for routine matters) and in the interests of time, the committee of creditors be authorised to conduct business through approving circular resolutions without conducting a meeting of the committee of creditors".	FOR	FOR	Procedural resolution
17-Oct-20	DHFL	COC(11COC)	evotingforibc.com	Management	Voting item #2 – Approval of CIRP costs. This is to enable the COC to approve routine matters and not pertaining to strategic calls on resolution process.	FOR	FOR	Procedural resolution
26-Oct-20	DHFL	COC(12COC)	evotingforibc.com	Management	Voting item #1 – Approval for payment of costs pertaining to DPLI stake sale process by DHFL on behalf of DIL. a. Appointment of actuarial valuer for valuation of DPLI- Quotes have been received from a couple of expert actuarial valuers. Further, few more quotes have been invited to carry out better negotiations - ~INR 70 - 80 Lakh (excluding OPE and taxes) b. Appointment of EY as M&A Advisor for stake sale process by DIL in DPLI - 1% Success Fee of the consideration involved in the transaction.	FOR	FOR	Procedural resolution
17-Nov-20	DHFL	COC(13COC)	evotingforibc.com	Management	Voting item #1 – Resolution on legal representation on behalf of CoC in relation to the Interlocutory Application 1104 of 2020 in Company Petition (IB) 4258 (MB) of 2019 filed by National Housing Bank before the Hon'ble National Company Law Tribunal, Mumbai.	FOR	FOR	Procedural resolution
18-Nov-20	TCS	PB	NSDL	Management	Approval For Buyback Of Equity Shares.	FOR	FOR	Routine business proposal
23-Nov-20	DHFL	COC(Revision of the 14COC,only extension of date, voting undertaken on 02 Dec 2020.	evotingforibc.com	Management	Voting item #1 – Resolution regarding the proposed interim arrangement between the CoC and NHB in view of the order passed by Hon' NCLT during the hearing dated 17th November 2020 in the matter relating to Sec. 16B of NHB Act, 1987.	FOR	FOR	Procedural resolution
23-Nov-20	DHFL	COC(Revision of the 14COC,only extension of date, voting undertaken on 02 Dec 2020.	evotingforibc.com	Management	Voting item #2 – Resolution regarding way forward on the Resolution Plan submission Process.	FOR	FOR	No concerns identified

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST/ABSTAIN)	Reason supporting the vote decision
01-Dec-20	HDFC BANK	PB	CDSL	Management	Appointment of Mr. Sashidhar Jagdishan as a Director of the Bank.	FOR	FOR	No concerns identified
01-Dec-20	HDFC BANK	PB	CDSL	Management	Appointment of Mr. Sashidhar Jagdishan as the Managing Director & Chief Executive Officer of the Bank, for a period of three years, on the terms and conditions relating to the said appointment, including remuneration, as approved by the RBI.	FOR	FOR	No concerns identified
01-Dec-20	Minda Corp	EGM	NSDL	Management	To Offer, Issue And Allot Equity Shares On Preferential Basis. Preferential issue of 5% to Phi capital which has been an active investor in the auto sector and has had successes like Mahindra Choice, Jawa, etc. We believe their knowledge and experience will help the company.	FOR	FOR	Routine business proposal
01-Dec-20	Minda Corp	EGM	NSDL	Management	Appointment Of Mr. Aakash Minda (DIN: 06870774) As An Executive Director Of The Company And Approval Of His Remuneration.	FOR	FOR	No concerns identified
8-Dec-20	DHFL	COC(15COC)	evotingforibc.com	Management	Voting Item 1: Approval of Insurance cost of Insurance of Insolvency Professional Resolved that the cost of premium ~INR 30 lacs (excluding GST) for extension of the existing IP Insurance Policy on the same terms and conditions as the existing policy be approved as CIRP cost u/r 31 of CIRP Regulations.	FOR	FOR	Procedural resolution
8-Dec-20	DHFL	COC(15COC)	evotingforibc.com	Management	Voting Item 2: Extension of CIRP Timelines Resolved that the CoC hereby authorises the Administrator to file an application for exclusion of 60 days period from the Corporate Insolvency Resolution process period.	FOR	FOR	Procedural resolution
09-Dec-20	Axis Bank	PB	KARVY	Management	Reappointment of Ms. Ketaki Bhagwati as Independent Director for three years from 19 January 2021 upto 18 January 2024.	FOR	FOR	No concerns identified
09-Dec-20	Axis Bank	PB	KARVY	Management	Appointment of Ms. Meena Ganesh as Independent Director for four years from 1 August 2020 upto 31 July 2024.	FOR	FOR	No concerns identified
09-Dec-20	Axis Bank	PB	KARVY	Management	Appointment of Gopalaraman Padmanabhan as Independent Director for four years from 28 October 2020 upto 27 October 2024.	FOR	FOR	No concerns identified
10-Dec-20	Embassy REIT	EGM	NSDL	Management	To consider and approve the Acquisition of Embassy TechVillage (ETV) for an enterprise value of Rupees 9,782.4 Crores.	FOR	FOR	No concerns identified
10-Dec-20	Embassy REIT	EGM	NSDL	Management	To consider and grant authority to borrow up to 35% of the Gross Asset Value of the Embassy REIT and matters related thereto.	FOR	FOR	Routine business proposal
10-Dec-20	Embassy REIT	EGM	NSDL	Management	To consider and approve raising of funds through an institutional placement(s) of Units not exceeding Rupees 80,000 million to Institutional Investors in one or more placements.	FOR	FOR	Routine business proposal
10-Dec-20	Embassy REIT	EGM	NSDL	Management	To consider and approve a preferential issue of up to 65,579,400 Units of the Embassy REIT at a price of Rupees 356.7 per Unit.	FOR	FOR	Routine business proposal

Meeting Date	Company Name	Types of Meeting (AGM/EGM/PB/TCM)	Evoting Platform	Proposal by Management or Shareholder	Proposal's Description	Investee Company's Management Recommendation	Vote (FOR/AGAINST/ABSTAIN)	Reason supporting the vote decision
11-Dec-20	Prestige Estates	EGM	CDSL	Management	To approve the divestment of the asset(s)/undertaking(s)/ direct or indirect interest of the Company in various commercial offices (including asset and common area management business in relation to these commercial offices), under construction office assets, retail assets, hotel properties, mall management and identified maintenance businesses to BREP Asia II Indian Holding Co IX (NQ) Pte. Ltd, BREP Asia II Indian Holding Co VII (NQ) Pte. Ltd and BREP Asia II Indian Holding Co III (NQ) Pte. Ltd, and/or their affiliates (collectively referred to as, the "Buyers").	FOR	FOR	No concerns identified
14-Dec-20	DHFL	COC(16COC)	evotingforibc.com	Management	Voting item #1 – Approval of CIRP costs.	FOR	FOR	Procedural resolution
14-Dec-20	DHFL	COC(16COC)	evotingforibc.com	Management	Voting item #2 – To approve the deduction of and creation of a fund for meeting the expenses incurred or to be incurred by the authorised representatives of the fixed deposit holders and the debenture holders, as the case may be, from any recoveries to the fixed deposit holders and the debenture holders, respectively, under the resolution plan that is voted on and approved by the committee of creditors.	FOR	FOR	Procedural resolution
14-Dec-20	DHFL	COC(16COC)	evotingforibc.com	Management	Voting item #3 – Approval of tie breaker mechanism discussed in the CoC meeting.	FOR	FOR	No concerns identified
19-Dec-20	ITC Ltd.	PB	NSDL	Management	Adoption of new Articles of Association in terms of the provisions of Section 14 of the Act.	FOR	FOR	No concerns identified
24-Dec-20	Nestle India	PB	NSDL	Management	Appointment/ Re-appointment of Executive Director. Matthias Lohner, 49, was Operations Manager, NESCAFE Dolce Gusto, GBU, prior to joining Nestle India.	FOR	FOR	No concerns identified